

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP01-192A	Total Pages	2
First Named Inventor or Application Identifier Ball et al.			
Title : METHOD AND FEEDSTOCK FOR MAKING PHOTOMASK MATERIAL			
Express Mail Label No.	EV327188458US		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on August 20, 2003
(Date)

Signature _____

"EXPRESS MAIL" Mailing Label No. EV327188458US

ADDRESS TO:

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450



1. ☒ * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages] 10
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets] 2
4. ☐ Oath or Declaration [Total Pages] 2
- a. ☐ Executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s) 15. ☐ Other:
(if foreign priority is claimed)

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional. ☐ Continuation-in-part (CIP) of prior application No 09/920,257

Prior application information: Examiner: M. Colaianni Group / Art Unit: 1731

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22928 or ☐ Correspondence address below

ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	(607) 248-1253	FAX	(607) 974-3848
Name (Print/Type)	Siwen Chen	Registration No. (Attorney/Agent)		{see attached}	
Signature			Date	August 20, 2003	

08/20/03



FEE TRANSMITTAL for FY 2001

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Ball et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP01-192A

TOTAL AMOUNT OF PAYMENT (\$918.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

- ☒ Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	Utility filing fee	<u>750.00</u>
106	330	Design filing fee	_____
107	510	Plant filing fee	_____
108	740	Reissue filing fee	_____
114	160	Provisional filing fee	_____
SUBTOTAL (1)			(\$750.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	19 - 20** =	x 18 =	\$00.00
Independent Claims	5 - 3** = 2	x 84 =	\$168
Multiple Dependent	+ \$280.00 =		\$0.00

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Fee (\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$168)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	_____
127	50	Surcharge - late provisional filing fee or cover sheet	_____
139	130	Non-English specification	_____
147	2,520	For filing a request for reexamination	_____
112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840	Requesting publication of SIR after Examiner action	_____
115	110	Extension for reply within first month	_____
116	390	Extension for reply within second month	_____
117	890	Extension for reply within third month	_____
118	1,390	Extension for reply within fourth month	_____
128	1,890	Extension for reply within fifth month	_____
119	310	Notice of Appeal	_____
120	310	Filing a brief in support of an appeal	_____
121	270	Request for oral hearing	_____
138	1,510	Petition to institute a public use proceeding	_____
140	110	Petition to revive - unavoidable	_____
141	1,240	Petition to revive - unintentional	_____
142	1,240	Utility issue fee (or reissue)	_____
143	440	Design issue fee	_____
144	600	Plant issue fee	_____
122	130	Petitions to the Commissioner	_____
123	50	Petitions related to provisional applications	_____
126	240	Submission of Information Disclosure Stmt	_____
581	40	Recording each patent assignment per property (times number of properties) _____ x	_____
146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	_____
149	710	For each additional invention to be examined (37 C.F.R § 1.129(b))	_____
Other fee (specify) _____			_____
Other fee (specify) _____			_____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)**

SUBMITTED BY

Completed (if applicable)

Name (Print/Type)	Siwen Chen	Registration No. (Attorney/Agent)	{see attached}
Signature		Date	August 20, 2003